

STATE OF ALABAMA  
DEPARTMENT OF INSURANCE  
CERTIFICATE OF SURPLUS LINE BROKER

I certify that the policy of insurance described below was issued in accordance with the provisions of the Alabama Unauthorized Insurers Act, that the information set forth herein below is true in every respect, that the insurer is a qualified surplus lines insurer and that the policy referred to below was issued subject to the following conditions:

1. The full amount of insurance was not procurable, after a diligent effort had been made by me from insurers authorized to transact and actually transacting that kind and class of insurance in Alabama, or has been procured to the full extent such insurers were willing to insure.
2. Insurance was not procured solely for the purpose of securing advantages as to a lower premium rate than would be accepted by an authorized insurer or for a pecuniary advantage to the agent.
3. Insurance was placed in an unauthorized insurer with the full knowledge and consent of the insured.

NAME AND ADDRESS OF THE INSURED \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

UNAUTHORIZED COMPANY'S NAIC NUMBER \_\_\_\_\_

NAME AND ADDRESS OF UNAUTHORIZED COMPANY \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

POLICY NUMBER \_\_\_\_\_ INCEPTION DATE \_\_\_\_\_ TERM \_\_\_\_\_

AMOUNT OF INSURANCE \$ \_\_\_\_\_ PREMIUM \_\_\_\_\_ BROKERS FEE \_\_\_\_\_ TAX \_\_\_\_\_  
(Premium + Fee x 6%)

TYPE OF RISK AND COVERAGE (DESCRIBE FULLY) \_\_\_\_\_  
\_\_\_\_\_

EFFORTS MADE BY YOU AS A BROKER TO OBTAIN INSURANCE FROM COMPANIES LICENSED IN ALABAMA AND ACTUALLY WRITING THAT KIND OF INSURANCE. (DESCRIBE FULLY):  
\_\_\_\_\_  
\_\_\_\_\_

(if additional space is required, use back of form.)

If business brokered to you by licensed Alabama agent, give name and address of agent.  
\_\_\_\_\_  
\_\_\_\_\_

If brokered to you, give Alabama Producer's License Number \_\_\_\_\_

I certify that the above statements are true and correct to the best of my knowledge.

BROKER NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_  
\_\_\_\_\_

Surplus Line Broker Signature

SURPLUS LINE BROKER LICENSE NUMBER \_\_\_\_\_

